



Course Syllabus
Fall 2023/2024
Sulaiman H. Al-Bader
FIN 420 – Investment Portfolio Management

Lecture Time and Location:

Mondays and Wednesdays 11:00 – 12:15 pm

Contact Information:

Location : Department of Finance & Financial Institutions

Email : albaderacademic@gmail.com (Best method of communication is via **Teams**).

Phone # : N/A

Office Hours : Wednesdays from 12:30 pm to 1:30 pm; by appointment only.

Teaching Assistant: Mr Khalid Al-Bader

Course Description:

This course expands your knowledge on portfolio management that you have learned in fin320 principles of investments. It will touch on various core portfolio management topics more deeply and with mixture of theory and practice. The course will explain the investment management process touching on asset allocation strategies, formulating an investment policy statement for individuals and institutional investors, and portfolio performance assessment with a special focus on equity portfolio management.

Course Learning Objectives (CLOs)

Upon successful completion of the course, students will:

1. Ability to design portfolios for individuals and institutions.
2. Allocate investor's capital across assets using various techniques.
3. Understand how to manage equity portfolios.
4. Measure a portfolio's performance.



CLO Mapping to CBA Skill Based Competency Goals¹

CLO	Competency Goal			
	Analytical	Communication	Information Technology	Business Ethics
1	R			
2	R			
3	R		A	
4	R			

Type of Emphases:

- **(I)ntroduce:** Students will be introduced to the skill and their grasp of it assessed in the course.
- **(A)pply:** The course will not cover the skill. Students should have a high-level grasp of the skill and are required to apply it in the course.
- **(R)einforce:** Students should have an introductory-level grasp of the skill and the course will improve their mastery to a higher level.

Textbook - *Managing Investment Portfolios: A Dynamic Process*, by John L. Magin, Donald L. Tuttle, Jerald E. Pinto, and Dennis W. McLeavey, 3rd Edition, published by John Wiley & Son.

Additional Material: *Managing Investment Portfolios Workbook*, by John L. Magin, Donald L. Tuttle, Jerald E. Pinto, and Dennis W. McLeavey, 3rd Edition, published by John Wiley & Son

Exams: There will be quizzes a midterm and a final exam. Questions will largely be CFA level and style.

Attendance: I will take attendance each class. However, I also need your engagement and participation throughout the semester.

¹ CBA Competency Goals can be found at the end of this document



Cheating and Plagiarism: Just DON'T! Doing the hard work is actually EASIER for you in the long run, trust me on this guys!

Cheating this early in your life will lead to two scenarios.

- a- You get caught and KU laws will be applied (**This is the good scenario**)
- b- You get away with it, you enjoy the outcomes and repeat it, again and again...until it gets ingrained in your character... at this stage it's becomes part of who you are, and you can't (or will find it extremely hard) to change it.

Grading:

The scores in this course will be the weighted average of the following items:

Weight	Description
10%	Attendance & Participation
20%	Quizzes
20%	Midterm
50%	Final exam
100%	TOTAL

** I might change this over the course, but this is tentatively how you will be graded*

Grade Distribution:

Grade	Range
A	≥ 95
A-	≥ 90 and < 95
B+	≥ 87 and < 90
B	≥ 83 and < 87
B-	≥ 80 and < 83
C+	≥ 77 and < 80
C	≥ 73 and < 77
C-	≥ 70 and < 73
D+	≥ 65 and < 70
D	≥ 60 and < 65
F	< 60



Course Schedule			
Mon	18/09/23		OFF
Wed	20/09/23		OFF
Mon	25/09/23		Logistics – into to Course
Wed	27/09/23		Ch. 1: The Portfolio Management Process
Mon	02/10/23		Ch. 1: The Portfolio Management Process
Wed	04/10/23	Quiz	Ch. 1: The Portfolio Management Process
Mon	09/10/23		Ch. 1: The Portfolio Management Process
Wed	11/10/23	Quiz	Ch. 1: The Portfolio Management Process
Mon	16/10/23		Ch. 1: The Portfolio Management Process
Wed	18/10/23	Quiz	Ch. 2: Managing Individual Investor Portfolio
Mon	23/10/23		Ch. 2: Managing Individual Investor Portfolio
Wed	25/10/23	Quiz	Ch. 2: Managing Individual Investor Portfolio
Mon	30/10/23		Ch. 2: Managing Individual Investor Portfolio
Wed	01/11/23	Quiz	Ch. 2: Managing Individual Investor Portfolio
Mon	06/11/23		Ch. 2: Managing Individual Investor Portfolio
Wed	08/11/23		<i>Buffer</i>
Mon	13/11/23	Midterm	Midterm
Wed	15/11/23		Ch. 3: Managing Institutional Investor Portfolio
Mon	20/11/23		Ch. 3: Managing Institutional Investor Portfolio
Wed	22/11/23	Quiz	Ch. 3: Managing Institutional Investor Portfolio
Mon	27/11/23		Ch. 3: Managing Institutional Investor Portfolio
Wed	29/11/23	Quiz	Ch. 3: Managing Institutional Investor Portfolio
Mon	04/12/23		Ch. 3: Managing Institutional Investor Portfolio
Wed	06/12/23	Quiz	Ch. 5: Asset Allocation
Mon	11/12/23		Ch. 5: Asset Allocation
Wed	13/12/23	Quiz	Ch. 5: Asset Allocation
Mon	18/12/23		Ch. 5: Asset Allocation
Wed	20/12/23		<i>Buffer</i>
Mon	25/12/23		<i>Buffer</i>
			Final 30th Dec 11am

* Numbers on the left of the topic description is the reading number