**Course Syllabus**

**Semester Spring 2021-2022**

**Dr. Bader Alhashel**

**FIN 420 – Investment Portfolio Management**

**Lecture Time and Location:**

**FIN 420 / 1A** :STTh TIME 8:00 – 8:50 Room # 1009

**Contact Information:**

**Location** : Department of Finance & Financial Institutions – 4th floor

**Email** : balhashel@cba.edu.kw

**Phone #**  : 24988495‬

**Office Hours** : ST 7:15-7:50

**Teaching Assistant:**

**Name** : TBA

**Location** : Department of Finance & Financial Institutions – 2nd Floor

**Email** : TBA

**Office Hours** : TBA

**Tutorial** : TBA

**Course Description:**

This course expands your knowledge on portfolio management that you have learned in FIN320 Principles of Investments. It will touch on various core portfolio management topics more deeply and with mixture of theory and practice. The course will explain the investment management process touching on asset allocation strategies, formulating an investment policy statement for individuals and institutional investors, and portfolio performance assessment with a special focus on equity portfolio management.

**Course Learning Outcomes:**

The learning outcomes for this course, listed below, relate to the learning goals of the College of Business Administration Undergraduate Program.

Upon successful completion of the course, students will:

1. Ability to design portfolios for individuals and institutions.
2. Allocate investor’s capital across assets using various techniques.
3. Understand how to manage equity portfolios.
4. Measure a portfolio’s performance

**Required Material:**

**Textbook** : *Managing Investment Portfolios: A Dynamic Process*, by John L. Magin, Donald L. Tuttle, Jerald E. Pinto, and Dennis W. McLeavey, 3rd Edition, published by John Wiley & Son.

**Additional Material** : *Managing Investment Portfolios Workbook,* by John L. Magin, Donald L. Tuttle, Jerald E. Pinto, and Dennis W. McLeavey, 3rd Edition, published by John Wiley & Son

**E-Learning System** : MS Teams

**Course Requirements and Policies:**

**Participation:** Participation is an important component of this course. Reading the assigned material and coming prepared to class will enable you to effectively contribute to the discussions.

**Case Studies:** There will be case studies in which you apply the various tools you learn during this course. These case studies are here to help you understand the material and tie the concepts and expose you to real-world problems and situations.

**Quizzes:** There are a total of 6 quizzes. Students will be notified of the quiz dates at the beginning of the semester; however, the instructor retains the right to change the dates.

**Attendance:** Attendance is not mandatory. However, there is a bonus of 3 points for attendance. If you plan to attend you should arrive before class starts. No student will be allowed in after the class has started.

**Cheating and Plagiarism:** Every student in this course must abide by the Kuwait University Policy on Cheating and Plagiarism (published in the Student Guide, Chapter 3, Section 2). A copy of the student guide can be accessed online on:

<http://www.kuniv.edu/cs/groups/ku/documents/ku_content/kuw055940.pdf>

Please carefully note all sources and assistance when you turn in your work. Under no circumstances should you take credit for work that is not yours. You should neither receive nor give any unauthorized assistance on any deliverable. If you have any questions about what constitutes “unauthorized assistance” please email me before the deliverable is submitted.

**Writing Style:** Students must refer to APA writing style for their assignments and report writing. Refer to the English Language Center for help.

**Grading:**

The scores in this course will be the weighted average of the following items:

|  |  |
| --- | --- |
| **Weight** | **Description** |
| 10 | Participation |
| 50 + 5 | 7 Quizzes + bonus |
| 5 | Case Studies |
| 40 | Final  *Note: If your grade in the Final Exam is higher than your quizzes, I will assign your Final Exam grade in two-thirds of the total quizzes grade.* |
| 3 | Attendance Bonus |
| 113 | TOTAL |

**Grade Distribution:**

|  |  |
| --- | --- |
| **Grade** | **Range** |
| A | ≥ 95 |
| A- | ≥ 90 and < 95 |
| B+ | ≥ 87 and < 90 |
| B | ≥ 83 and < 87 |
| B- | ≥ 80 and < 83 |
| C+ | ≥ 77 and < 80 |
| C | ≥ 73 and < 77 |
| C- | ≥ 70 and < 73 |
| D+ | ≥ 65 and < 70 |
| D | ≥ 60 and < 65 |
| F | < 60 |

**Important Dates**

|  |  |
| --- | --- |
| **Date** | **Event** |
| 14/4/2022 | Last day to drop a course |
| 2/6/2022 | Last day of classes |
| 14/6/2022 8-10 am | Final Exam |

**Tentative Course Schedule:**

|  |  |  |
| --- | --- | --- |
| **Week** | **Topic & Chapter** | **Assignments** |
| 6-Mar | Ch. 1: The Portfolio Management Process |  |
| 13-Mar | Ch. 2: Managing Individual Investor Portfolio |  |
| 20-Mar | Ch. 2: Managing Individual Investor Portfolio |  |
| 27-Mar | Ch. 2: Managing Individual Investor Portfolio | **1st Quiz – 31/3** |
| 3-Apr | Ch. 3: Managing Institutional Investor Portfolio |  |
| 10-Apr | Ch. 3: Managing Institutional Investor Portfolio |  |
| 17-Apr | Ch. 3: Managing Institutional Investor Portfolio |  |
| 24-Apr | Ch. 5: Asset Allocation | **2nd Quiz – 26/4** |
| 1-May | Eid Holiday |  |
| 8-May | Ch. 5: Asset Allocation |  |
| 15-May | Ch. 5: Asset Allocation | **3rd Quiz – 17/5** |
| 22-May | Ch. 5: Asset Allocation |  |
| 29-May | Ch. 7: Equity Portfolio Management | **4th Quiz – 31/5** |