**Course Syllabus**

**Fall 2022**

**Dr. Saud Al-Thaqeb**

**FIN 470 – Seminar in Finance and Financial Institutions**

**Lecture Time and Location:**

**FIN 470**  : Mon-Wed TIME 3:30-4:45 pm Room #  1016-CG

**Contact Information:**

**Location** : Department of Finance & Financial Institutions – 4th Floor

**Email** : [saud.althaqeb@KU.eud.kw](mailto:saud.althaqeb@KU.eud.kw)

**Office Hours** : Mon-Wed  1:00-1:50 pm,  After class or by appointment

**Social Media** : Teams & MyU – SAlthajeb (This is my preferred form of communication)

**Course Website**: [www.SAlthajeb.com](http://www.SAlthajeb.com)

**Teaching Assistant:**

**Name** : TBA

**Course Description: *To be determined by the instructor***

This seminar is devoted to studying selected and specialized subjects in both finance and financial institutions, which may or may not have been covered in the other courses offered by the department. This capstone course on finance & investment. It presents the basic concepts of Corporate Governance for Islamic and traditional Financial Institutions. The discussion of these issues involves theoretical concepts and policy implications. The primary objective of the course is to familiarize the student with the basics of banking industry, including the objectives, tasks, and decisions made by finance managers. The key aim of this subject is to assist students in understanding the theory and practice of banking, based on contemporary situations. The Course offers an opportunity to study the structure of the Islamic banking and finance industry, including its theoretical foundations, products, performance, Islamic financial instruments, corporate governance, and risk management issues. This course has a heavy emphasis on guest lectures on the latest industry trends and best practices by industry experts and Shari‘ah scholars. The course will be a mix of cases and lectures.

**Suggested Course Learning Outcomes:**

The learning outcomes for this course, listed below, relate to the learning goals of the College of Business Administration Undergraduate Program, which can be found in the appendix at the end of this syllabus. The numbers in parenthesis indicate to which specific learning goal the outcome relates.

Upon successful completion of the course, students will:

1. Be able to work effectively in a team setting to evaluate and solve complex financial problems that arise in the management of a modern corporation (LG4, LG5)
2. Be able to use spreadsheet applications to create financial models. (LG3)
3. Understand the ethical problems that may occur in financial decision-making. (LG1)
4. Be able to identify the potential conflict of interests between stakeholders. (LG1)
5. Understand the ethical issues that may occur and propose ethical solutions. (LG1)
6. Develop expertise in applying financial theory, including capital budgeting, capital structure, dividend policy, corporate governance, and risk management to the types of problems that arise in the management of a modern corporation. (LG2, LG5)
7. Develop expertise in applying financial mathematics to the types of problems that arise in the management of a modern corporation, including the valuation of financial and real assets. (LG3, LG5)
8. Be able to deliver professional quality presentations. (LG4)

**Required Material:**

**Suggested Textbook** : Bruner, R. F., Eades, K. M., & Schill, M. J. (2014). *Case Studies in Finance: Managing for Corporate Value Creation*. (7th ed.). Singapore: McGraw-Hill Irwin.

**Additional Material** : Other material, including course Slides are available on Teams and my website

**E-Learning System** : Moodle

**Course Website** : [www.SAlthajeb.com](http://www.SAlthajeb.com)

**Suggested Course Requirements and Policies:**

**Course Requirements and Policies:**

**Expectations:** I expect you to attend each class and participate in discussions. I expect you to do your assignments on time and attend the tutorials.

**Participation:** Participation is a major component of this course. Reading the assigned material and coming prepared to class will enable you to effectively contribute to the discussions.

**Case Studies**:  There are a total of 2 case studies. In groups of 2-5 members, you will be required to read, analyze and prepare a professional document to submit for each case the instructor assigns.

**Presentation**: Each student is required to professionally present to the class one of the cases/subjects assigned by the instructor.

**Project (term paper/research paper):** There will be a project done individually or in a group of 2-3. The project involves analyzing and evaluating one concept/ case/ standards in Islamic finance of your choosing.

The project is here to help you understand the material and tie the concepts and expose you to real-world problems and situations.

**Attendance:** Attendance is mandatory. There is a 5% grade towards attendance and participation.Every student in this course must abide by the Kuwait University Policy on Attendance (published in the Student Guide, Chapter 3, Section 13). **However, there is a bonus of 3 points for full attendance**. A copy of the student guide can be accessed online on:

<http://www.kuniv.edu/cs/groups/ku/documents/ku_content/kuw055940.pdf>

**Assignments:** There will be various assignments throughout the semester. These assignments are here to help you understand the material and tie the concepts

**Quizzes:** I will give some quizzes throughout the semester, so you should be prepared.

**Exams:** There will be some quizzes and a final; however, the instructor retains the right to change the dates. The dates of the exams are as follows:

|  |  |  |
| --- | --- | --- |
| **Important Dates** | **Event** | **Notes** |
| **3/11/2022** | **Last day to drop a course** |  |
| **22/12/2022** | **Last day of classes** |  |
| **27/12/2022** | **Final (comprehensive)** | 11 AM-1:00 PM in a place TBA |

**Suggested Grading:**

The scores in this course will be the weighted average of the following items:

|  |  |
| --- | --- |
| **Weight** | **Description** |
| 15 % | Midterm and Quizzes |
| 20 % | Class Participation & Attendance |
| 10 % | Case Study & Assignments |
| 15 % | Final Project (Term Paper and Presentation) |
| 40 % | Final Exam |
| 100% | TOTAL |

**Cheating and Plagiarism:** Every student in this course must abide by the Kuwait University Policy on Cheating and Plagiarism (published in the Student Guide, Chapter 3, Section 2). A copy of the student guide can be accessed online on:

<http://www.kuniv.edu/cs/groups/ku/documents/ku_content/kuw055940.pdf>

Please carefully note all sources and assistance when you turn in your work. Under no circumstances should you take credit for work that is not yours. You should neither receive nor give any unauthorized assistance on any deliverable.

**Writing Style:** Students must refer to MLA or APA writing style for their assignments and report writing. Refer to the English Language Center for help.

**Grade Distribution:**

|  |  |
| --- | --- |
| **Grade** | **Range** |
| A | ≥ 95 |
| A- | ≥ 90 and < 95 |
| B+ | ≥ 87 and < 90 |
| B | ≥ 83 and < 87 |
| B- | ≥ 80 and < 83 |
| C+ | ≥ 77 and < 80 |
| C | ≥ 73 and < 77 |
| C- | ≥ 70 and < 73 |
| D+ | ≥ 65 and < 70 |
| D | ≥ 60 and < 65 |
| F | < 60 |

**Course Outline: To be determined by the instructor**

|  |  |
| --- | --- |
| **Title** | **Topics** |
| Corporate Governance |  |
| Sukuk |  |
| Risk management |  |
| Corporate Finance |  |
| Regulation (CMA) |  |
| AML |  |
| Private Banking |  |
| Treasury Finance banking operation |  |
| Insurance & Takaful |  |
| Waqf (endowment ) |  |