



Course Syllabus
Fall 2021
Dr. AbdulRahman M. Al-Taweel
FIN 315 – Managing Financial Institutions

Contact Information:

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Office Hours: Monday 10:30 am – 12:30 pm, or by appointment

Twitter: @Dr_ALTaweel

TA: TBA

Tutorial Times: TBA

Course Description:

This course intends to provide a conceptual framework of why financial institutions exist and how they function. In addition, the course intends to shed light on what various services those institutions offer. In describing each type of institution, the course emphasizes how financial institutions participate in financial markets. The course also provides complete exposition on financial institutions management, performance, and regulatory aspects. It will also analyze how financial institutions manage, absorb and control the risk they face. The objective of this course is enriching the students' knowledge with the practical aspects of financial institutions management which should serve a noble objective of enhancing the student's learning process.

Course Learning Outcomes:

The learning outcomes for this course, listed below, relate to the learning goals of the College of Business Administration Undergraduate Program, which can be found in the appendix at the end of this syllabus. The numbers in parenthesis indicate to which specific learning goal the outcome relates.

Upon successful completion of the course, students will:

1. Understand commercial banks and their role.
2. Understand how other financial institutions function and their role.
3. Understand the financial risks faced by the various financial institutions and the various methods to manage them.
4. Understand the role of financial institutions in global markets.
5. Possess the necessary analytical and communication skills to produce and present sound and logical investment and financial reports. (LG3, LG4)
6. Work effectively in a team setting and have the appropriate interpersonal and leadership skills. (LG4)
7. Be able to analyze and solve financial problems. (LG5)
8. Understand the ethical problems that may occur in financial decision-making. (LG1)

Required Material:



Textbook : Saunders, Anthony and Marcia Cornett. *Financial Markets & Institutions*. 8th ed. McGraw-Hill Education, 2019.

Additional Material :

- Other material, including course slides are available on Teams.
- Financial Calculator

E-Learning System : Microsoft Teams, Moodle

Course Requirements and Policies:

Project There will be a project in which you apply the various tools you learn during this course on several banks. More information regarding the project will be provided later. This project is here to help you understand the material and tie the concepts and expose you to real-world problems and situations.

Movie: We will be watching a financial movie during this course. Each one of you will submit a report about any comments or questions you may have on the movie. Your grade for the Movie will depend on your attendance and your report.

Exams: There are a total of 3 midterms; the exam dates indicated at the timetable; however, the instructor retains the right to change the dates.

Attendance: Every student in this course must abide by the Kuwait University Policy on Attendance (published in the Student Guide, Chapter 3, Section 13). A copy of the student guide can be accessed online on:

http://www.kuniv.edu/cs/groups/ku/documents/ku_content/kuw055940.pdf

Cheating and Plagiarism: Every student in this course must abide by the Kuwait University Policy on Cheating and Plagiarism (published in the Student Guide, Chapter 3, Section 2). A copy of the student guide can be accessed online on:

http://www.kuniv.edu/cs/groups/ku/documents/ku_content/kuw055940.pdf

Please carefully note all sources and assistance when you turn in your work. Under no circumstances should you take credit for work that is not yours. You should neither receive nor give any unauthorized assistance on any deliverable. If you have any questions about what constitutes “unauthorized assistance” please email me before the deliverable is submitted.

Writing Style: Students must refer to MLA writing style for their assignments and report writing. Refer to the English Language Center for help.



Grading:

The scores in this course will be the weighted average of the following items:

Weight	Description
10%	Participation and Attendance
5%	Movie
15%	Project
40%	3 Midterms
30%	Final Exam
100%	TOTAL

Grade Distribution:

Grade	Range
A	≥ 95
A-	≥ 90 and < 95
B+	≥ 87 and < 90
B	≥ 83 and < 87
B-	≥ 80 and < 83
C+	≥ 77 and < 80
C	≥ 73 and < 77
C-	≥ 70 and < 73
D+	≥ 65 and < 70
D	≥ 60 and < 65
F	< 60



Course Outline:

Title	Topics
Intro. Ch.1	
Commercial Banks: Industry Overview Ch.11	
Commercial Banks' Financial Statements & Analysis Ch.12	
Regulation of Commercial Banks Ch.13	Midterm 1: Week of 22 nd Nov
Insurance Companies Ch.15	
Securities Firms & Investment Banking Ch.16	
Mutual Funds and Hedge funds Ch.17	Midterm 2: Week of 19 th Dec
Types of Risks Incurred by Financial Institutions Ch.19	
Managing Credit Risk Ch.20	
Managing Liquidity Risk Ch.21	
Managing Interest Rate Risk & Insolvency Risk Ch. 22	Midterm 3: Week of 16 th Jan
Managing Risk off the balance sheet with Derivative Securities Ch.23	

Important Dates

Date	Event
Week of 22/11/2021	Midterm I
Week of 19/12/2021	Midterm II
Week of 16/01/2021	Midterm III
31st Jan 2021	Final Exam 11am-1pm